Renaissance
THE SCIENCE OF INVESTING

Switch Request form

(Applicable Only for Existing Client)

Date:

A) Existing PMS Account Details (Mandatory)

Account Code | PMS Strategy Name:

Name of Sole / First Holder

Name of Second Holder

Name of Third Holder

Email Id

B) New PMS Account Details (Mandatory)

Considering the various factors including performance of the existing Assets under management in the existing PMS account as per the details above, market conditions, my/ our profile, risk appetite and my/our overall investment objectives, I/ We wish to rebalance my/our assets to the new strategy as per the below details:

☐ Partial AUM (Please fill the below details)

☐ Full AUM (Default)

New PMS Strategy Name: (Please note the same strategy has to be ticked in the client profiler required to be submitted along with this form)

Amount in Figures | Amount in Words

Map Existing PMS Demat Account No. to new strategy: ☐ Yes* ☐ No*

We understand that pursuant to this request the Portfolio Manager shall now manage the assets i.e., funds and /or securities managed under the existing strategy and shall manage it pursuant to the new strategy. I/We further understand that the Portfolio Manager may at its discretion transfer the assets in the same form (funds and / or securities) as under the existing strategy or may liquidate any/all securities managed under the existing strategy at fair market value and thereafter the funds and / or securities standing to my/our credit (net of all expenses) may be invested as per the new strategy. I/We further understand that due to fluctuations in the prices of securities/ transfer of marketable lot of the securities, the resultant value of the securities transferred may not be exactly equal to the amount requested hereinabove.

I/We understand the investment objectives under the new strategy and have read and understood the Disclosure Document. I/We understand that the Portfolio Investment Management Agreement and Supplemental Agreement (if any) entered into between me/us and Renaissance Investment Managers shall continue to remain in force be applicable to my/our investment in above mentioned "Strategy" as well. Further, I/We confirm that I/We have read and understood the schedule of fee and that the fees applicable for the new Strategy as attached herewith shall be applicable to me after the change in the strategy.

In case of a partial re-balancing to the new strategy, I/We agree and confirm that the fees and charges charged by the Portfolio Manager for providing me / us the Portfolio Management Services with respect to the other Investment Strategies availed by me as per the PMS fee schedule(s) signed by me/ us from time to time shall continue to remain applicable.

Terms and Conditions:

* In case of full AUM re-balancing, the existing demat account will be mapped by default to the new strategy. This will not be applicable if the re-balancing is required to be done to another existing strategy – the demat account will have to be closed and the closure form will have to be submitted.

- The holding pattern of investments in the new strategy will be as per the existing investment's and demat account's holding pattern.
- No change /addition or deletion of names will be allowed in the pattern of investments.
- If there is any change / addition/ deletion in the holding pattern of the investments, a fresh application with all the supporting documents will need to be resubmitted.
- Signature of all the holders of the PMS account will be required.
- Application will not be accepted/ processed unless accompanied with duly signed PMS fee schedule applicable to the new strategy and the latest client profiler.
- All requests/ application received upto 6:00 PM on business day, shall be processed on the next business day.
- The Following Documents need to be submitted in case of non individual clients:
  Capital Account, Profit and Loss Account and Balance Sheet of the client for last 2 years
- All clients will be required to submit Capital Account, Profit and Loss account and Balance Sheet/IT Returns for the last two years.
- The request shall not be processed unless all the Documents as required by the Portfolio Manager are submitted along with this Form.
  - In case of HUF, (a) the Karta will sign on behalf of the HUF. (b) Please affix the rubber stamp of the HUF.
  - In case of Partnership Firm (a) the form should be signed by all the Partners/Authorised Partner(s). (b) Please affix the rubber stamp of the Partnership firm.
  - In case of Corporates/ Societies (a) the form should be signed by all the Authorised Signatory(ies). (b) Please affix the rubber stamp of the Corporate. (c) Copy of the latest shareholding pattern duly certified by the Company Secretary/Whole-time director (Secretary/Chairman of the Managing Committee in case of Societies).
  - In case of Trust (a) the form should be signed by all the Authorised Signatory(ies). (b) Please affix the rubber stamp of the Trust. (c) Balance Sheet and Profit and Loss account of last two years shall be submitted.

Name & Sign of First / Sole Holder/ Authorized Signatory

Name & Sign of Second Holder / Authorized Signatory

Name & Sign of Third Holder / Authorized Signatory

RENAISSANCE INVESTMENT MANAGERS PRIVdATE LIMITED
710, 7th Floor, C Wing, Mittal Commercia Premises CHS Ltd., Off. M.V. Road. Near Mittal Estate, Marol,Andheri (East)
Tele: +91 22 49711561 / +91 22 49711562
SEBI Registration Number: INP000005455 Corporate Identification Number: U74999MH2016PTC288504